

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Harada, Takamasa**

Docket No.

2001JP309

Serial No.

10/688,541

Filing Date

September 17, 2003

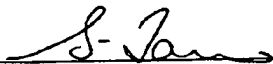
Examiner

NA

Group Art Unit

2872Invention: **Optical Film Having Controlled Scattering/transmitting Characteristics**I hereby certify that this **Second Preliminary Amendment***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on **February 20, 2004***(Date)***Sangya Jain***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV 360041840 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



Serial No.10/688,541
Filing Date September 17, 2003

Customer No. 26,289
Attorney Docket 2001JP309

Attorney's Docket No.
2001JP309

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/688,541

Group Art Unit: 2872

Filed: September 17, 2003

Examiner: Not Assigned

For: **Optical Film Having Controlled Scattering/transmitting Characteristics**

February 20, 2004

Commissioner for Patents

**Alexandra,
Virginia, 22313-1450**

SECOND PRELIMINARY AMENDMENT

Dear Sir,

Please insert in the Specification in the beginning:

"This application is a continuation of PCT International Application PCT/JP02/03760 with an international filing date of April 16, 2002 based on a priority application 2001-123389 filed in the Japanese Patent Office with a priority filing date of April 20, 2001."

This patent application is a continuation application based on an international application filed in the Japanese Patent Office with a priority application number of 2001-123389 and a priority filing date of April 20, 2001. The priority application has an International application number of PCT/JP02/03760 with an international filing date of April 16, 2002. All the

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accompanying PCT paperwork was filed with the national filing of the application in the US Patent Office on September 17, 2003, where the Declaration for Utility or Patent Application (37 CFR 1.63) refers to the foreign priority application.

If an additional fee is required, the Commissioner is hereby authorized to credit any overpayment or charge any fee deficiency to Deposit Account No. 03-2060.

The agent may be contacted at (908) 429-3536 to clarify any further issues related to claiming foreign priority.

Respectfully submitted,

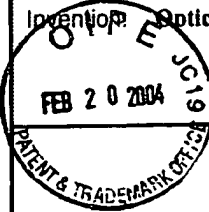
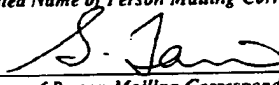


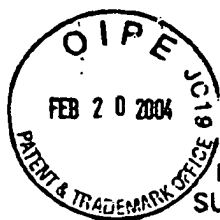
Sangya Jain
Reg. No. 38,504
Clariant Corporation

Telephone: (908) 429-3536

Express Mail Label No. EV 360041840 US

02-23-04

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Harada, Takamasa			Docket No. 2001JP309	
Serial No. 10/688,541	Filing Date September 17, 2003	Examiner NA	Group Art Unit 2872	
Invention: Optical Film Having Controlled Scattering/transmitting Characteristics				
<div style="position: relative;">  <p style="clear: both;"> I hereby certify that this <u>FormPCT/1B/304</u> <small>(Identify type of correspondence)</small> is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on <u>February 20, 2004</u> <small>(Date)</small> </p> <div style="text-align: right; margin-top: 20px;"> <p><u>Sangya Jain</u> <small>(Typed or Printed Name of Person Mailing Correspondence)</small></p> <p><u></u> <small>(Signature of Person Mailing Correspondence)</small></p> <p><u>EV 360041840 US</u> <small>("Express Mail" Mailing Label Number)</small></p> </div> </div>				
<p>Note: Each paper must have its own certificate of mailing.</p>				



PCT

NOTIFICATION CONCERNING
SUBMISSION OR TRANSMITTAL
OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

KANAOK, Hiroki
Mutsumi International Patent Bureau
Bandai Bldg., 10-14, Kandaawajicho
2-chome
Chiyoda-ku, Tokyo 101-0063
JAPON

Date of mailing (day/month/year) 11 June 2002 (11.06.02)	
Applicant's or agent's file reference K02016-PCT	IMPORTANT NOTIFICATION
International application No. PCT/JP02/03760	International filing date (day/month/year) 16 April 2002 (16.04.02)
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 20 April 2001 (20.04.01)
Applicant CLARIANT INTERNATIONAL LTD. et al	

1. The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
2. This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
3. An asterisk(*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
4. The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
20 April 2001 (20.04.01)	2001-123389	JP	07 June 2002 (07.06.02)

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer

PETRESKA Gorica

Telephone No. (41-22) 338.83.38

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Harada, Takamasa**

Docket No.

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Serial No.

10/688,541

Filing Date

September 17, 2003

Examiner

NA

Group Art Unit

2872Invention: **Optical Film Having Controlled Scattering/transmitting Characteristics**I hereby certify that this **FormPCT/1B/308***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on **February 20, 2004***(Date)***Sangya Jain***(Typed or Printed Name of Person Mailing Correspondence)*

A handwritten signature in dark ink, appearing to read "S. Jain", written over a horizontal line.

*(Signature of Person Mailing Correspondence)***EV 360041840 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



PCT

From the INTERNATIONAL BUREAU

NOTICE INFORMING THE APPLICANT OF THE
COMMUNICATION OF THE INTERNATIONAL
APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

To:

KANAO, Hiroki
Mutsumi International Patent Bureau
Bandai Bldg., 10-14, Kandaawajicho
2-chome
Chiyoda-ku, Tokyo 101-0063
Japan

Date of mailing (day/month/year) 31 October 2002 (31.10.02)		
Applicant's or agent's file reference K02016-PCT		IMPORTANT NOTICE
International application No. PCT/JP02/03760	International filing date (day/month/year) 16 April 2002 (16.04.02)	
Applicant CLARIANT INTERNATIONAL LTD. et al		

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this notice:

KR,US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

CN,EP,SG

The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this notice is a copy of the international application as published by the International Bureau on

31 October 2002 (31.10.02) under No. WO 02/086562

4. **TIME LIMITS** for filing a demand for international preliminary examination and for entry into national phase

The applicable time limit for entering the national phase will, subject to what is said in the following paragraph be **30 MONTHS** from the priority date, not only in respect of any elected Office if a demand for international preliminary examination is filed before the expiration of 19 months from the priority date, but also in respect of any designated Office, in the absence of filing of such demand, where Article 22(1) as modified with effect from 1 April 2002 applies in respect of the designated Office. For further details, see PCT Gazette No.44/2001 of 1 November 2001, pages 19928, 19932 and 19934, as well as the PCT Newsletter, October and November 2001 and February 2002 issues.

In practice, time limits other than the 30-month time limit will continue to apply, for various periods of time, in respect of certain designated or elected Offices. For regular updates on the applicable time limits (20,21,30 or 31 months, or other time limit), Office by Office, refer to the PCT Gazette, the PCT Newsletter and the PCT Applicant's Guide, Volume II, National Chapters, all available from WIPO's Internet site, at <http://www.wipo.int/pct/en/index.html>.

For filing a demand for international preliminary examination, see the PCT Applicant's Guide, Volume I/A, Chapter IX. Only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination (at present, all PCT Contracting States are bound by Chapter II.)

It is the applicant's sole responsibility to monitor all these limits.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer J. Zahra
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22) 338.91.11

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Harada, Takamasa**

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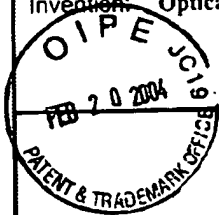
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2872Invention: **Optical Film Having Controlled Scattering/transmitting Characteristics**I hereby certify that this **Letter to Commissioner for Patents***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on **February 20, 2004***(Date)***Sangya Jain***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV 360041840 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



Attorney's Docket No.

2001JP309

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/688,541

Group Art Unit: 2872

Filed: September 17, 2003

Examiner: Not Assigned

For: Optical Film Having Controlled Scattering/transmitting Characteristics

Inventor: Takamasa Harada

February 20, 2004

Commissioner for Patents

Alexandra,

Virginia, 22313-1450

Dear Sir,

The patent application with the serial number 10/688,541 with a filing date of September 17, 2003 was intended to be filed as a national stage application under PCT, but was incorrectly filed under 35 USC 111(a). The current application claims priority from the priority patent application filed in the Japanese Patent Office with an application number 2001-123389 and a filing date of April 20, 2001, which was filed with a PCT application number of PCTJP02/03760 and a filing date of April 20, 2001. All the relevant PCT filing papers were filed with the US Patent Office on September 17, 2003, including the Declaration by the inventor claiming priority from the foreign application as described above.

The Commissioner is requested to convert this US application to a PCT national stage US application under USC 365(c) and 35 USC 120, and is authorized to charge any relevant fees to the deposit account number 03-2060. If

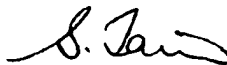
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this cannot be done then the Commissioner is requested to convert the present US application with the serial number 10/688,541 to a continuation claiming priority from the priority document of Japan 2001-123389 as disclosed above under 35 USC 120. A preliminary amendment requesting the insertion of priority claim is enclosed.

If an additional fee is required, the Commissioner is hereby authorized to charge any fee deficiency to Deposit Account No. 03-2060.

The agent for the applicant may be contacted at (908) 429-3536 to clarify any further issues related to claiming foreign priority or to supply any additional forms to insure the correct priority claim.

Respectfully submitted,



Sangya Jain
Reg. No. 38,504
Clariant Corporation
Customer Number 26,289

Telephone: (908) 429-3536

Express Mail Label No. EV 360041840 US